

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Mitsuyoshi KUWAHATA et al.

Group Art Unit: 1711

Serial Number: 10/583,991

Examiner: Not yet assigned

Filed: June 22, 2006

Confirmation Number: 7383

For: **SOFT VINYL CHLORIDE COPOLYMER RESIN, RESIN COMPOSITION  
AND PROCESS FOR PREPARING THE SAME**

Attorney Docket Number: 062705

Customer Number: 38834

REQUEST FOR CORRECTED FILING RECEIPT

Mail Stop: Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

May 23, 2007

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the Official Filing Receipt, we noted an error in the Assignee's Information and the Foreign Application is missing. The Assignee's Information should read,

--Kaneka Corporation, Osaka, JAPAN--. The Foreign Applications should read,

--JAPAN 2003-432718 12/26/2003

JAPAN 2003-432877 12/26/2003

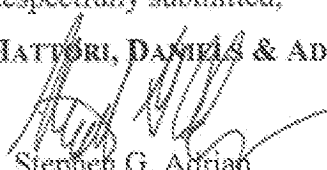
JAPAN 2004-308548 10/22/2004--.

An Assignment and a Declaration are enclosed which indicate the correct information.

Request for Corrected Filing Receipt  
U.S. Application No.: 10/583,991  
Docket No.: 062705

If any fees are required in connection with this paper, please charge Deposit Account No.  
50-2866.

Respectfully submitted,  
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP



Stephen G. Adrian  
Attorney for Applicants  
Registration No. 32,878  
Telephone: (202) 822-1100  
Facsimile: (202) 822-1111

SGA/bc  
Enclosures: Assignment  
Declaration

**DECLARATION FOR U.S. PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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AND PROCESS FOR PREPARING THE SAME**

the specification of which is attached hereto unless the following is checked

☒ was filed on \_\_\_\_\_ as United States Application Number \_\_\_\_\_ and was filed on December 14, 2004 as PCT International Application Number PCT/JP2004/018990 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) - (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed.

(List prior foreign applications. See note A)

2003-432718  
(Number)

Japan  
(Country)

26/12/2003  
(Day/Month/Year Filed)

Priority Claimed

☒ Yes ☐ No

2003-432877  
(Number)

Japan  
(Country)

26/12/2003  
(Day/Month/Year Filed)

☒ Yes ☐ No

2004-308548  
(Number)

Japan  
(Country)

22/10/2004  
(Day/Month/Year Filed)

☒ Yes ☐ No

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

☐ Yes ☐ No

(See note B) ☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(List prior U.S. Applications)

Status

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

**Customer Number: 38834**

Please direct all communications to the following address:

Westerman, Hattori, Daniels & Adrian, LLP  
1250 Connecticut Avenue, N.W., Suite 700, Washington, D.C. 20036

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See note C) Full name of sole or first inventor (given name, family name) Mitsuyoshi KUWAHATA  
Inventor's signature Mitsuyoshi Kuwahata Date May 17, 2006  
Residence Kobe-shi, Hyogo 655-0035 Japan Citizenship Japanese  
Post Office Address 2-1-24-104, Goshikiyama, Tarumi-ku, Kobe-shi, Hyogo 655-0035 Japan

Full name of second inventor (given name, family name) Kisaburo NOGUCHI  
Inventor's signature Kisaburo Noguchi Date May 17, 2006  
Residence Kobe-shi, Hyogo 651-2242 Japan Citizenship Japanese  
Post Office Address 6-8-25, Ibukidai Higashimachi, Nishi-ku, Kobe-shi, Hyogo 651-2242 Japan

Full name of third inventor (given name, family name) Toshihito KAWAUCHI  
Inventor's signature Toshihito Kawauchi Date May 17, 2006  
Residence Kobe-shi, Hyogo 651-1513 Japan Citizenship Japanese  
Post Office Address 5-16-4, Kanokodai Kitamachi, Kita-ku, Kobe-shi, Hyogo 651-1513 Japan

Full name of fourth inventor (given name, family name) Yukio HIGASHIYAMA  
Inventor's signature Yukio Higashiyama Date May 17, 2006  
Residence Otsu-shi, Shiga 520-2151 Japan Citizenship Japanese  
Post Office Address 4-28-B-2-1, Kuribayashi-cho, Otsu-shi, Shiga 520-2151 Japan

Full name of fifth inventor (given name, family name) \_\_\_\_\_  
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_

Full name of sixth inventor (given name, family name) \_\_\_\_\_  
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_

**U.S. ASSIGNMENT**

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by

(Insert ASSIGNEE's  
Name(s) Address(es))

**KANEKA CORPORATION** residing at 2-4, Nakanoshima 3-chome, Kita-ku, Osaka-shi,  
Osaka 536-8288 Japan

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned  
ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title  
and interest to the invention entitled

(Title of Invention)

**SOFT VINYL CHLORIDE COPOLYMER RESIN, RESIN COMPOSITION  
AND PROCESS FOR PREPARING THE SAME**

(\* If the assignment is  
being filed after the  
filing of the  
application, this  
section must be  
completed)

for which application for Letters Patent of the United States was executed on even date herewith  
unless otherwise indicated below:

\* filed on \_\_\_\_\_ Serial No. \_\_\_\_\_

(Westerman, Hattori, Daniels & Adrian, LLP is hereby authorized to insert the series code, serial  
number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefore on said application or any  
continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for  
which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any  
continuation, divisional, reissue or reexamination applications thereof and also to execute separate  
assignments in connection with such applications as the ASSIGNEE may deem necessary or  
expedient.

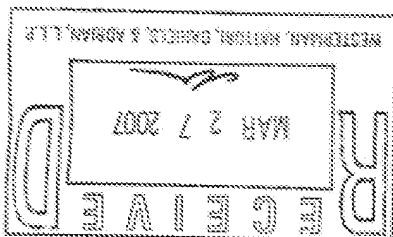
The ASSIGNOR agrees to execute all papers necessary in connection with any interference,  
litigation, or other legal proceeding which may be declared concerning this application or any  
continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued  
thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing  
evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

<u>Mitsuyoshi Kuwahata</u> (Signature)	<u>Mitsuyoshi KUWAHATA</u> (Type Name)	<u>May 17, 2006</u> (Date)
<u>Kisaburo Noguchi</u> (Signature)	<u>Kisaburo NOGUCHI</u> (Type Name)	<u>May 17, 2006</u> (Date)
<u>Toshihito Kawachi</u> (Signature)	<u>Toshihito KAWAUCHI</u> (Type Name)	<u>May 17, 2006</u> (Date)
<u>Yukio Higashiyama</u> (Signature)	<u>Yukio HIGASHIYAMA</u> (Type Name)	<u>May 17, 2006</u> (Date)
_____ (Signature)	_____ (Type Name)	_____ (Date)
_____ (Signature)	_____ (Type Name)	_____ (Date)

NO LEGALIZATION REQUIRED



tion, to be used for filing abroad under the Paris

Non-Publication Request No

Projected Publication (Foreign Application No)

Convention, is US1

The country code an

If Required, Foreign

Foreign Applications

This application is a 371 of PCT/JP04/18980 12/14/2004

Domestic Priority data as claimed by applicant

Power of Attorney: The patent practitioners associated with Customer Number 38834

Assignment For Published Patent Application

Mitsuyoshi Kuwahata, Hyogo, JAPAN;  
Kisaburo Noguchi, Hyogo, JAPAN;  
Toshiko Kawachi, Hyogo, JAPAN;  
Yukio Higashiyama, Shiga, JAPAN;

Applicant(s)

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Date Mailed: 03/23/2007

WASHINGTON, DC 20036

SUITE 700

1250 CONNECTICUT AVENUE, NW

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

38834

CONFIRMATION NO. 7383

FILING RECEIPT



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APPL NO.	10/583,991	06/22/2006	1711	900	062705	6	1
FILING OR 371(a) DATE	ART UNIT	PL. FEE RECD	ATTY/DOCKET NO	TOT CLMS	IND CLMS		

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